



Modeling for Architects III: Project Forces, Architectural Concerns, and Decisions

Architectural Thinking for Intelligent Systems

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Agenda

- Functional and non-functional requirements from an architectural perspective
- Controlling requirements to manage risk
- Understanding constraints
- Architectural concerns and decisions in ISO 42010
- Creating work products and documenting architectures
 - Relationship between application/solution architecture and enterprise architecture frameworks











Tutorial Assignment 3

- We begin to understand the various forces that influence our project and the concerns that we need to address.
- We create an initial list of decisions, which have to be taken and understand how they relate to each other.
- We explore potential decision alternatives and take an educated guess of the expected outcome.





Business as Usual?

"The hardest single part of building a software system is deciding precisely what to build.

No other part of the conceptual work is as difficult as establishing the detailed technical requirements, including all the interfaces to people, to machines, and to other software systems. No other part of the work so cripples the resulting system if done wrong. No other part is more difficult to rectify later."

Brooks, Frederick P., "No Silver Bullet: Essence and Accidents of Software Engineering," Computer, Vol. 20, No. 4 (April **1987**) pp. 10-19.





Requirements, requirements, requirements ...

"The goal of requirements development is to accumulate a set of requirements that are good enough to allow your team to proceed with design and construction of the next portion of the product at an <u>acceptable</u> <u>level of risk</u>."



Karl Wiegers and Joy Beatty Software Requirements





Key Requirements

Interaction of users, data and business functions







Inherent Difficulties during Requirements Elicitation

- Understanding stakeholders ´needs is challenging
 - they do not really know what they want (in terms of the to-be-built system)
- Communication is complex, often unclear, suffers from the Business-IT gap
- Control of the software development process is difficult
- "Inseparable" concerns: everything seems to depend on everything





Random Difficulties during Requirements Elicitation

- Writing requirements at a later point in times when things become more clear
 - not helpful for developers (but: rapid prototyping)
- Contradictory interests when elicitating and documenting requirements:
 - Selling the future product (meet a marketing hype)
 - Do some general documentation based on software development practice and methods used
 - Writing contracts
- Insufficient efforts
- Undetected ...







Wiegers/Beatty: Software Requirements





Types of Requirements



)F(

Smart Requirements

- Specific: unambiguous and consistent
 - described at appropriate level of detail



- Measurable: objectively and independent of human interests
 - how can we decide if the requirement has been satisfied?
- **Attainable**: it is in principle possible to build it
 - technically feasible with the current state of the art
- Realizable: *we* can build it
 - under the given constraints (available resources)
- Traceable: track work items through the development process
 - conception specification design implementation test





Customer Needs and Software Development







The Universe of Stakeholders

Outside the Developing Organization

Direct user
Indirect user
Acquirer
Procurement staff
Legal staff
Contractor
Subcontractor

Business management Contracting officer Government agency Subject matter expert Program manager Beta tester General public Consultant Compliance auditor Certifier Regulatory body Software supplier Materials supplier Venture capitalist

Developing Organization

Development manager
Marketing
Operational support staff
Legal staff
Information architect
Company owner

Sales staff Installer Maintainer Program manager Usability expert Subject matter expert Executive sponsor Project management office Manufacturing Training staff Portfolio architect Infrastructure support staff

Project Team

Project manager Business analyst Application architect Designer Developer Product owner Data modeler Process analyst Tester Product manager Quality assurance staff Documentation writer Database administrator Hardware engineer Infrastructure analyst Business solutions architect A stakeholder is a party who has some interest in the system under consideration





ISO/IEC/IEEE 42010 Systems and software engineering — Architecture description is an international standard for architecture descriptions of systems and software

- Concern is an interest in a system relevant to one or more of its stakeholders. A concern pertains to any influence on a system in its environment, including developmental, technological, business, operational, organizational, political, economic, legal, regulatory, ecological and social influences.
- Architecture Decision affects AD Elements and pertains to one or more Concerns. By making a Decision, new Concerns may be raised.
- Architecture Rationale records the explanation, justification or reasoning about Architecture Decisions that have been made and architectural alternatives not chosen.

















Documentation of Architectural Decisions



Understand the decision problem, possible decision alternatives, result of your decision process and \underline{why} you arrived at the particular decision result





Decision Dependencies



Architectural Thinking for Intelligent Systems: Requirements, Concerns, Decisions

19





Recommendations for the Documentation of Decisions

- Unique identifier for the decision
- Precise description of the decision (statement)
- Concerns to which it pertains
- Owner of the decision
- Affected AD elements
- Rationale linked to the decision
- Forces and constraints on the decision
- Assumptions influencing the decision
- Considered alternatives and their potential consequences





7 Types of Decisions (Bass,Clements,Kazman)

- 1. Assignment of Responsibilities
 - Which components in the system are responsible for specific functions and quality attributes?
- 2. Coordination Model
 - How do components interact?
 - Which ones are not allowed to interact?
- 3. Data Model (incl. data management)
- 4. Resource Management
 - Which resources are required?
 - How are resources managed or shared?





7 Types of decisions (cont.)

- 5. Mapping of architectural elements
 - Inside system architecture: components and operational model
 - To external systems: interfaces and dependencies

- 6. Binding time Decisions to achieve variability in architecture
- 7. Choice of Technology
 - Given as constraint or decision of the architect





How do we organize our decisions?

- According to concern
- According to AD Element
- According to architectural levels





Perspectives and Levels in an Architecture

- On which levels of abstraction does an architect move within the framework of his activity? At which levels does he make decisions?
- How does architecture manifest itself on these levels of abstraction?

"With the help of architectural levels, as an architect one is more aware of the powers and their origins, which always have a fundamental effect on an architecture. At the module level, forces from the system level and at the system level forces from the organizational level have an effect on an architecture. By being aware of these facts, the problems and questions arising during the creation of an architecture are treated in a more uniform way and the mixing of different aspects is avoided."





Macro Architecture

Levels

- Assign problems to the appropriate levels and thus handle them more easily and uniformly
- Separate different concerns
- Influential forces on an architecture are explicitly present, can be better understood and taken into account

Organizational Level defines constraints implements constraints System Level defines constraints implements constraints **Component Level Micro Architecture**

Perspective (WHERE

Architectural





Dimensions and Levels

Level Dimension	Organization	System	Components
What	Enterprise Architecture, Business Processes,	Software Architecture,	Software Architecture,
Where	Requirements View,	Requirements View, Logical View,	Requirements View, Logical View,
Why	Organisational Requirements, IT Standards, IT Guidelines,	System Requirements,	Component Requirements,
With what	Business Process Descriptions, Business Use Case,	System Context Diagram, Styles, Reference Architectures, Frameworks,	Architectural Pattern, Design Pattern, Frameworks,
Who	Enterprise Architect,	Software Architect,	Software Architect,
How	Creating the System Vision, Context	Creating the System Idea, Developing the Architecture,	Developing the Architecture,





Architectural Decisions and Levels

Levels (according to Zimmermann)	Basic Decision
Executive level	Architectural style
Conceptual level	Architectural pattern
Technology level	Java EE or .NET?
Manufacturer level	Oracle or IBM? Microsoft?

> Decisions are not independent of each other!

O. Zimmermann et al.: Managing architectural decision models with dependency relations, integrity constraints, and production rules. Journal of Systems and Software (2009)





Examples of Architectural Decisions







An Al System for Cable Tree Wiring





Architecture Documentation





Software Architecture Documentation

- Also architecture master document, architecture reference or architecture state-of-the-art
- Includes various work products, in particular
 - Tasks, requirements and goals, stakeholders
 - Boundary conditions and context view
 - Other views, glossaries of terms
 - Design decisions and patterns used
 - Architecture assessment scenarios
 - Risks, change requests, project aspects

> No really satisfying tool support currently available





Documentation Templates

- Companies & organizations define their own frameworks
 - Specifications for uniform terminology, roles, work phases, work products, templates
 - e.g. IBM Unified Method Framework (UMF)
- Enterprise Architecture Frameworks: Open Group TOGAF
- Hruschka/Starke: <u>http://arc42.de/</u>
- Standards
 - ISO 10746 Reference Model for Open Distributed Processing
 - http:// www.rm-odp.net
 - ISO 42010 Recommended Practice for Architectural Description of Software-intensive Systems





Enterprise Architecture (EA) defines Application Architecture Documentation - John Zachman 1987

A framework for information systems architecture

by J. A. Zachman IBM SYSTEMS JOURNAL, VOL26, NO 3, 1987; © 1987, 1999

"With increasing size and complexity of the implementations of information systems, it is necessary to use some logical construct (or architecture) for defining and controlling the interfaces and the integration of all the components of a system."







When the question is asked, "What is information systems architecture?" the answer is, "There is not an information systems architecture, but a set of them!" Architecture is relative. What you think architecture is depends on what you are doing. For an example, see Table 6.

We are having difficulties communicating with one another about information systems architecture, because a <u>set of architectural representations</u> exists, instead of a *single* architecture. One is not right and another wrong. The architectures are different. They are additive and complementary. There are reasons for electing to expend the resources for developing each architectural representation. And there are <u>risks</u> associated with *not* developing any one of the architectural representations.





Zachman 1987

- Notion of architectural levels
 - Business
 - Information systems
 - Technology
- Architectural views
 - Data
 - Process
 - Network
- Importance of managing risks







Data – Process – Network

Table 3 Three different types of descriptions of the same product

	Description I	Description II	Description III
Orientation	Material	Function	Location
Focus	Structure	Transform	Flow
Description	WHAT the thing is made of	HOW the thing works	WHERE the flows (connections) exist
Example	Bill-of-materials	Functional specifications	Drawings
Descriptive model	Part-relationship-part	Input-process-output	Site-link-site

Table 4 Information systems analogs for the different types of descriptions

	Description I (material)	Description II (function)	Description III (location)
Information systems analog	Data model	Process model	Network model
I/S descriptive model	Entity-relationship-entity	Input-process-output	Node-line-node




Work Products in Zachman Framework

	DATA What	FUNCTION How	NETWORK Where	PEOPLE Who	TIME When	MOTIVATION Why
Objective/Scope (contextual) <i>Role: Planner</i>	List of things important in the business	List of Business Processes	List of Business Locations	List of important Organizations	List of Events	List of Business Goal & Strategies
Enterprise Model (conceptual) <i>Role: Owner</i>	Conceptual Data/ Object Model	Business Process Model	Business Logistics System	Work Flow Model	Master Schedule	Business Plan
System Model (logical) <i>Role:Designer</i>	Logical Data Model	System Architecture Model	Distributed Systems Architecture	Human Interface Architecture	Processing Structure	Business Rule Model
Technology Model (physical) <i>Role:Builder</i>	Physical Data/Class Model	Technology Design Model	Technology Architecture	Presentation Architecture	Control Structure	Rule Design
Detailed Reprentation (out of context) Role: Programmer	Data Definition	Program	Network Architecture	Security Architecture	Timing Definition	Rule Speculation
Functioning Enterprise <i>Role: User</i>	Usable Data	Working Function	Usable Network	Functioning Organization	Implemented Schedule	Working Strategy





The Zachman Framework

	What? Data	How? Function	Where? Network	Who? People	When? Time	Why? Motivation	
Planner's Viewpoint Contextual							Scope
Owner's Viewpoint Conceptual							Enterprise Models
Designer's Viewpoint Logical							Systems Models
Builder's Viewpoint Physical							Technology Models
Sub-contractor's Viewpoint Out-of-context							Detailed Representations
Functioning Enterprise							Actual Systems





Gartner Inc., Stamford (Connecticut) EAF - Enterprise Architecture Framework provides development steps for an optimal constellation of business, information and technology to support the business strategies.

IT City Planning Architecture Framework separates between the business, functional, application und technical layer of an architecture

> National Defence and the Canadian Forces, Ottawa (Ontario) DNDAF - Department of National Defense Architecture Framework

Purdue University, West Lafayette (Indiana) PERA - Purdue Enterorise Reference Architecture helps to analyse, design and develop

Zachman International, La Canada (California) Zachman-Framework defines views and lavers of a information system.

U. S. Office of Management and Budget (OMB), Washington DC FEA - Federal Enterprise Architecture contains a performance, business, service component, data and a technical reference model for describing important elements of an EA.

U.S. Department of the Treasury, Washington DC TEAF - Treasury Enterprise Architecture Framework supports the architecture development with guides, templates, common concepts to standards, principles etc. TISAF - Treasury Information System Architecture Framework is revised by the TEAF.

U. S. Chief Information Officers (CIO) Council FEAF- Federal Enterprise Architecture Framework provides an empty frame for the EA-development.

U. S. National Institutes of Health, Bethesda & Washington DC NIH Enterprise Architecture Framework helps with the architecture development and describes a business architecture modeling methodology.

United States

of America

La Canada

U.S. National Institute of Standards and Technology, Galthersburg (Maryland) NIST EA Model provides a five-layered architectural reference model for managing an integrated set of information and information technology architectures.

Casewise

Based on the Zachman Framework offers the

guidance to create enterprise architecture

multinational members

Casewise Framework structure, templates and

IEEE Architecture Working Group (AWG) with

ISO/IEC 42010 (IEEE Std 1471-2000) describes

required contents of an architecture description.

ADS - Architecture Description Standard provides notations, terminology and semantics for architecture description.

The Open Group (Initiated ArchiMate project was managed by Telematica Instituut. Enschede - Netherlands.) ArchiMate defines provides terminology for modelling the global structure of domains and relations between the domains.

Government and Agency Frameworks	Interoperability Frameworks			
specially-tailored for federal uses	to realise interactivity			
Management Frameworks	Manufacturing-Specific Frameworks			
to support the management branch	for manufacturing solutions			
Military Frameworks to support military requirements	Add-On Frameworks in addition to other frameworks, projects etc.			
Technical orientated Frameworks without business orientated management methods (e.g. BPML)	Legend			

Steven H. Spewak, New York EAP - Enterprise Architecture Planning provides steps to realise the top two rows of the Zachman Framework.

afayette

Ottawa

Washington,

U. S. Department of Defence, Washington DC C4ISR Architecture Framework provides views models and a method to describe an information system architecture

> DoDAF - Department of Defence Architecture Framework as successor of the C4ISR the DoDAF provides views and models to develop an information system architecture for weapon integration and service-oriented structures.

> > TAFIM - Technical Architectural Framework for Information Management helped with a reference model and steps to describe and develop a technical architecture

JTA - Joint Technical Architecture as knowledge base provides standards, interfaces and services for other (DoD) frameworks

DoD TRM - Department of Defence Technical Reference Model supports a technical structure for development and acquisition of IT-solutions.

U.S. General Accounting Office (GAO), Washington DC EAMMF - Enterprise Architecture Management Maturity Framework helps to define the maturity of the EAdevelopment.

> U.S. Office of Management and Budget (OMB), Washington DC EAAF - OMB Enterprise Architecture Assessment Framework helps to measure and assess the steady enterprise architecture improvement process.

Worldwide developments

Joint development by IEEE (more than 380.000 members from 150 countries) and The Open Group POSIX OSE Reference Model supports

specification of interfaces for distributed systems and distributed application platform implementations

Workgroup Architectures for Enterprise Integration by International Federation for Information Processing (IFIP) and International Federation of Automatic Control (IFAC) **GERAM** - Generalised Entempise Reference Architecture and Methodology provides a general reference architecture to describe all information and communication systems as well as

The Open Group - Consortium by members from North America (50%), Europe (25%) and Asia-Pacific (25%) TOGAF - The Open Group Architecture Framework supports the development and description of technical architectures.

Globemen (Global Engineering and Manufacturing in Enterprise Networks) project is part of International Intelligent Manufacturing Systems (IMS) program VERAM - Virtual Enterprise Reference Architecture and Methodology is a framework that positions elements according to its support, modelling, formation/set up, management of virtual enterprises and the underlying IT.

Springer www.EAF-Book.de - Enterprise

Architecture Frameworks Kompendiun ISBN: 3642129544 mail@Dirk Matthes.com

European developments

Capgemini IAF - Integrated Architecture Framework provides views on

and layers of an architecture as well as tools (workshops, interviews) for their development.

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Comité Européen de Normalisation HIF - Healthcare Information Framework (ENV 12443) supports specification of services on the middleware laver and describes a healthcare information system architecture.

Roger Evemden, U.K. IFW - Information FrameWork a matrix for analysing and structuring information.

U. K. Cabinet Office e-GIF - e-Government Interoperability Framework to realise interoperability between A2A, A2B and A2C.

United Pape U.K. Department for Transport Kingdom TRAK - The Rail Architecture Framework is a rail-specific architecture framework in adapting MODAE

Université de Bordeaux, Laboratory of Automation and Productics (LAP). GIM - GRAI Integrated Methodology supports analysis and specification of CIM systems components.

Atos Origin - IT Consulting, Paris **CLEAR** (Comprehensive Landscaped Enterprise Architecture Representation) Framework provides taxonomy and onlology and reference models for architecture development

Délégation Générale pour l'Armement (DGA) AGATE - Atelier de Gestion de l'Architecture provides viewpoints including models of the information system architecture.

OMG - Object Management Group

OMA - Object Management Architecture provides terminology and a architecture reference model for separation the information system components in nterface categories and a central handling component the Object Request Broker (ORB)

CORBA - Common Object Request Broker Architecture specify the Object Request Broker (ORB), its interface and interfaces of other OMG standards.

MDA Guide - Model Driven Architecture Guide contains a procedure reference models for a model driven software evelopment approach.

ISO - the International Organization for Standardization The Standards ISO/IEC 10746-1 till 4 are known as Reference Model for Open Distributed Processing

(RM-ODP), that specify information systems to enable distributed information processing

Matthes Framework Map

frameworks according to their nationality and intention -

European Consortium AMICE (IBM, Siemens, FIAT, ...) CIMOSA - Computer Integrated Manufacturing Open System Architecture provides a modelling framework for the corporate structure including computer integrated manufacturing

European Commission

EIF - European Interoperability Framework provides layers of interopability that are to realise according specific standards as condition for interactivity. Especially to realise electronic government services across the EU member states.

U.K.Ministry of Defence, London MoDAF - UK Ministry of Defence Architectural Framework supports the description and specification of an architecture.

John Sherwood, U.K.

12-5

Ensci

Saarbrueken

Netherlands

Paris German

SABSA - Sherwood Applied Business Security Architecture is a framework and methodology for Enterprise Security Architecture and Service Management.

Fergus Cloughley and Paul Wallis, U.K.

OBASHI Framework provides a six-layered architectural reference model for placing the elements on the layers ownership, business process, application, system, hardware and infrastructure. Its Il ustrating the relationships between business and information technology.

Institute For Enterprise Architecture Developments (IFEAD),

Amersfoort E2AF - Extended Enterprise Architecture Framework describes views and steps to enhance an EA to an extended enterprise architecture (E2A)

Nederlands Architectur Forum (NAF) xAF - Extensible Architecture Framework

Federal Ministry of the Interior, Germany SAGA - Standards and Architecture for e-Government Applications defines standards and architecture model for e-government applications and unifies processes and data in the administrations.

Europe IDS Scheer AG. Saarbrücken ARIS - Architecture of Integrated Information Systems to model and optimize business processes.

> SAP AG, Walldorf (Germany) SAP Enterprise Architecture Framework complements TOGAF to support the effective adoption of SOA

> > Australia

acti consulting GmbH, Brauns chweig

t-eam - toolbox for enterprise architecture management defines amongst others an architecture reference model. Its helps to answer the questions like the Zachman Framework: where, what, who, how, why, with what and when.

Vassilios Peristeras (Greek) & Konstantinos Tarabanis (Macedonia) C4IF - Connection, Communication, Consolidation, Collaboration Interoperability Framework defines four interoperability types to refers the ability of information systems to exchange signals, data, to understand data and to act loge ther.

Australian Department of Defence, Canberra AusDAF - Australian Defence Architecture Framework

Gree

consultant-educators Robinson and Gout XAF - eXtreme Enterprise Architecture is practical for reengineening activities and software applications in the entermise

Queensland Government Chief Information Office QGEAF - Queensland Government Enterprise Architecture Framework provides a structure for the information management and information and communication technology policy.





The Open Group Architecture Framework (TOGAF)

- Open, industry consensus framework for enterprise architecture, developed since 1994
- The purpose of enterprise architecture is to optimize across the enterprise the often fragmented legacy of processes (both manual and automated) into an integrated environment that is responsive to change and supportive of the delivery of the business strategy."







Needs of the business shape non-architectural aspects of business operation







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Capability-Based Planning







Application Architecture and Other Disciplines







TOGAF Architecture Development Method (ADM)

Tested and repeatable process for developing architectures







Phase C: Information Systems Architectures — Application Architecture

11.1 Objectives

- Develop the Target Application Architecture that enables the Business Architecture and the Architecture Vision, while addressing the Request for Architecture Work and stakeholder concerns
- Identify candidate Architecture Roadmap components based upon gaps between the Baseline and Target Application Architectures

11.3.2 Non-Architectural Inputs

- Request for Architecture Work
- Capability Assessment
- Communications Plan





11.3.3 Architectural Inputs

- Organizational Model for Enterprise Architecture including
 - scope of organizations impacted, maturity assessment, gaps, and resolution approach, roles and responsibilities for architecture team(s), constraints on architecture work, budget requirements, governance and support strategy
- Tailored Architecture Framework
 - tailored architecture method, tailored architecture content (deliverables and artifacts), configured and deployed tools
-
- Draft Architecture Definition Document Baseline and Target
 - Business Architecture
 - Data Architecture
 - Application Architecture
 - Technology Architecture
 - Target Technology Architecture
- Draft Architecture Requirements Specification
 - Gap analysis results (from Business Architecture and Data Architecture, if available)
 - Relevant technical requirements that will apply to this phase





11.4. Steps

- 1. Select reference models, viewpoints, and tools
- 2. Develop Baseline Application Architecture Description
- 3. Target Application Architecture Description
- 4. Perform gap analysis
- 5. Define candidate roadmap components
- 6. Resolve impacts across the Architecture Landscape
- 7. Conduct formal stakeholder review
- 8. Finalize the Application Architecture
- 9. Create Architecture Definition Document





11.5 Outputs

- Architecture Vision
- Draft Architecture Definition Document
- Draft Architecture Requirements Specification
- Catalogs: application portfolio, interface
- Matrices: application/organization, role/application, application/function, application interaction
- Diagrams: application communication, application and user location, application use case, enterprise manageability, process/application realization, software engineering, application migration, software distribution





Stakeholder Management







Content Metamodel



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Architectural Artifacts (Work Products) by ADM Phase



Architectural Thinking for Intelligent Systems: Requirements, Concerns, Decisions





Guidelines for a good Architecture Documentation

- Provide clarity and ensure uniform understanding among stakeholders
 - <u>Define</u> and <u>use</u> terms consistently
 - Ensure consistency of diagrams and views
- Define a structure for the documentation and communicate this structure to all stakeholders
- Document «Why» something is like it is
 - Decisions, alternatives, result with rationale
- Avoid redundancy





Questions a good Documentation should answer

- How does the system fit into its environment, especially its technical infrastructure?
- How is the system structured as a set of implementation units and what are the relationships between them?
- How do the modules behave at runtime and how do they work together?





Possible structure of an Architectural Documentation







Read More

<u>http://www.iso-architecture.org/ieee-1471/</u>

 Uwe van Heesch, Paris Avgeriou, and Rich Hilliard. A documentation framework for architecture decisions. The Journal of Systems & Software, 85(4):795–820, April 2012. <u>https://dl.acm.org/citation.cfm?id=2148467</u>





Summary

- Managing requirements as key method to control risk
- SMART requirements
- Understand constraints and stakeholders
- Enterprise Architecture provides tools for application/ solution architects – AD guidelines & work products
- Always document decisions precisely and at a detailed level
 - Insurance of the architect in the event of a crisis
 - "why" some decision was taken
 - Surprisingly frequently: "because the customer wanted it"





Working Questions

- 1. Which main work products should an architectural documentation include?
- How do you document decisions according to ISO 42010?
- 3. What do we mean by a concern?
- 4. What role do forces and constraints play in a decision?
- 5. Why should we precisely list the affected elements of the architecture in the documentation of the decision?
- 6. Why do we need to list the considered alternatives in the decision?