



Introduction & Basic Concepts

Architectural Thinking for Intelligent Systems

Winter 2019/2020

Prof. Dr. habil. Jana Koehler

Agenda

- Big Ball of Mud
- Organization of this lecture
- Solution/Application architecture vs. other architectural disciplines
- Architectural Thinking and the 3 C of success
- Architecture vs. Design

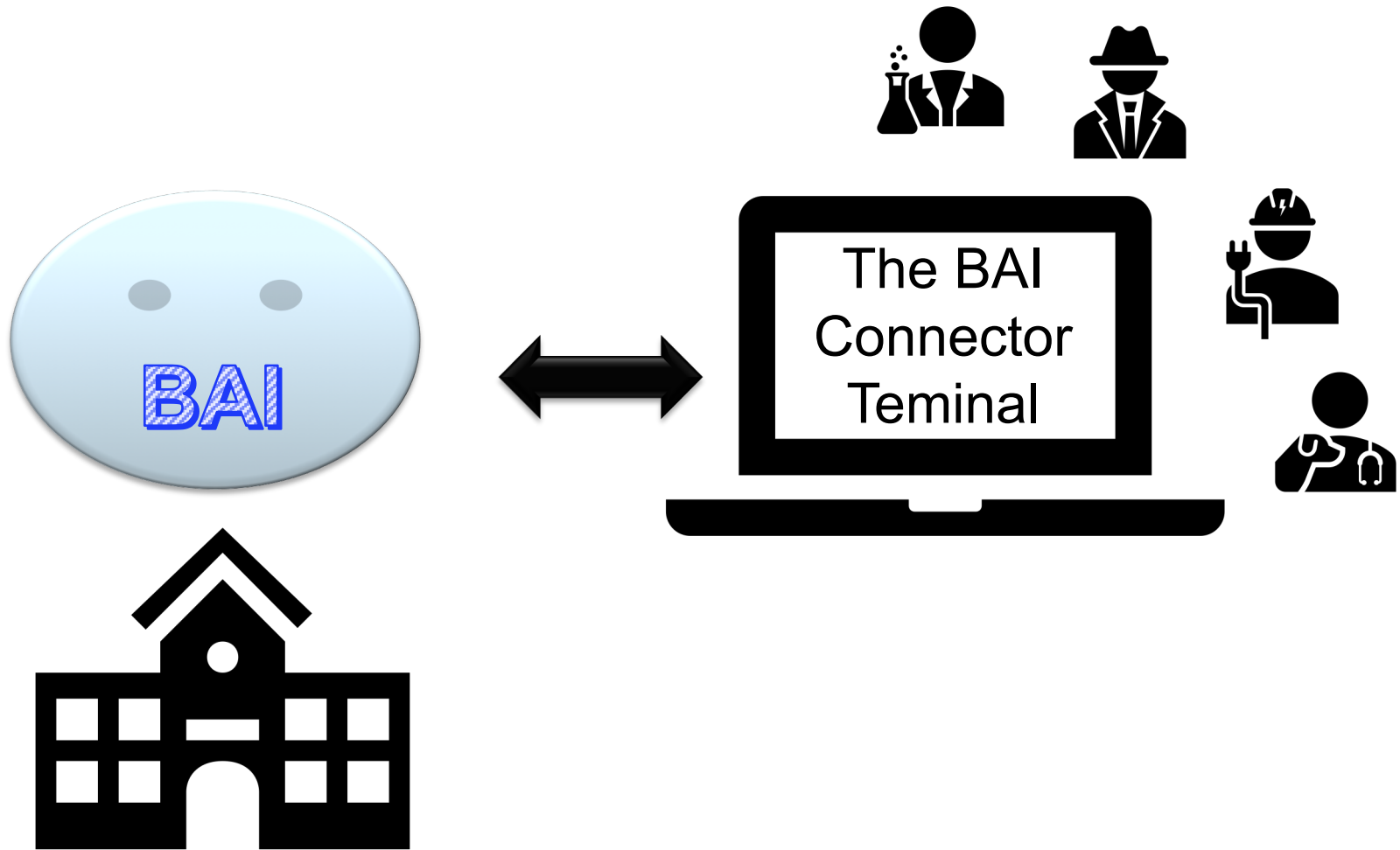
***If you think good architecture
is expensive,
try bad architecture***

Brian Foote and Joseph Yoder: “Big Ball of Mud”

<http://www.laputan.org/mud/>



Let's Build a System ...



Bluebook Corporation

BIG BALL OF MUD: The most frequently deployed Software Architecture

- A casually, even haphazardly, structured system
- Its organization, if one can call it that, is dictated more by expediency than design
- Several patterns describe the forces that encourage the emergence of a BIG BALL OF MUD:
 - PRESSURE TO DELIVER
 - THROWAWAY CODE
 - PIECEMEAL GROWTH
 - KEEP IT WORKING
 - SHEARING LAYERS
 - SWEEPING IT UNDER THE RUG
 - RECONSTRUCTION

PRESSURE TO DELIVER

- SPAGHETTI CODE
- You need to deliver quality software on time, and under budget
- Therefore, focus first on features and functionality, then focus on architecture and performance

THROWAWAY CODE

- QUICK HACK, SCRIPTING, KILLER DEMO, PERMANENT PROTOTYPE
- You need an immediate fix for a small problem, or a quick prototype or proof of concept
- Therefore, produce, by any means available, simple, expedient, disposable code that adequately addresses just the problem at-hand

PIECEMEAL GROWTH

- ITERATIVE-INCREMENTAL DEVELOPMENT
- Master plans are often rigid, misguided and out of date. Users' needs change with time
- Therefore, incrementally address forces that encourage change and growth
- Allow opportunities for growth to be exploited locally, as they occur
- Refactor unrelentingly

KEEP IT WORKING

- VITALITY, BABY STEPS, DAILY BUILD, DO NO HARM
- Maintenance needs have accumulated, but an overhaul is unwise, since you might break the system
- Therefore, do what it takes to maintain the software and keep it going. Keep it working

SHEARING LAYERS

- GLUE CODE, ADAPTERS, FACADES, INTERFACES
- Different artifacts change at different rates
- Therefore, factor your system so that artifacts that change at similar rates are together

SWEEPING IT UNDER THE RUG

- POTEMKIN VILLAGE, PRETTY FACE, QUARANTINE, HIDING IT UNDER THE BED, ENCAPSULATION
- Overgrown, tangled, haphazard spaghetti code is hard to comprehend, repair, or extend, and tends to grow even worse if it is not somehow brought under control
- Therefore, if you can't easily make a mess go away, at least cordon it off (isolate). This restricts the disorder to a fixed area, keeps it out of sight, and can set the stage for additional refactoring

RECONSTRUCTION

- TOTAL REWRITE, THROWAWAY THE FIRST ONE, START OVER

- Your code has declined to the point where it is beyond repair, or even comprehension

- Therefore, throw it away and start over

- To prevent such a situation:
- ***Refactoring: Improving the Design of Existing Code*** by Martin Fowler

Goals of this Course

- Learn essential elements of architectural thinking
 - Understand the relationship of architecture – design – code
 - Deepen and expand learned knowledge for building software systems
 - Understand architectural thinking as a method to control project risk
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- **Systematically learn, apply, and deepen architectural knowledge by working out an architectural solution concept for a specific project**

Lecture Plan

In red: tutorial plan & date for assignments (upload day before until 5.59pm)

Week 1 21.10.	Week 2 28.10.	Week 3 4.11.	Week 4 11.11.	Week 5 18.11.	Week 6 25.11.	Week 7 2.12.
A1: Introduction to Architectural Thinking <ul style="list-style-type: none"> - Big Ball of Mud - Organization of this lecture - Solution/Application architecture vs. other architectural disciplines - Architectural Thinking - Architecture vs. Design 	A2: Modeling for Architects I <ul style="list-style-type: none"> - Capturing architectural concepts with UML 2 - Sequence diagrams - Package & Component diagrams - State machines - Use case diagrams 	A3: Modeling for Architects II <ul style="list-style-type: none"> - Analyzing business processes with BPMN 2.0 - Understanding Business Object Lifecycles <p>1) UML</p>	A4: Modeling for Architects III <ul style="list-style-type: none"> - Understanding forces and concerns - Architectural concerns and decisions in ISO 42010 - Architecture documentation, Enterprise Architecture Frameworks <p>2) BPMN 2.0</p>	A5: System Functionality <ul style="list-style-type: none"> - Negotiating functional requirements - Goal hierarchies - Writing good use cases and user stories <p>3) Forces, Concerns, Architectural Decisions</p>	A6: System Qualities <ul style="list-style-type: none"> - Importance of non-functional requirements - Making qualities measurable with scenarios <p>4) Goal Hierarchies and Acceptance Tests</p>	A7: System Vision, Idea, and Views <ul style="list-style-type: none"> - Formulating the System Idea and Vision - Views & Viewpoints - Operational model <p>5) Scenarios</p>
Week 8 9.12.	Week 9 16.12.	Week 10 13.1.	Week 11 20.1.	Week 12 27.1.	Week 13 3.2.	Week 14 7. 2. (Friday!)
A8: Domain-Driven Design <ul style="list-style-type: none"> - Understanding the business domain - Domain elements & bounded contexts - DDD context maps and the big ball of mud <p>6) System Vision, System Idea, Views</p>	A9: Principles & Tactics <ul style="list-style-type: none"> - 10 principles: Loose Coupling, High Cohesion, Design for Change, Separation of Concerns, Information Hiding, Abstraction, Modularity, Traceability, Self documentation, Incrementality - Tactics <p>7) DDD & Revision of Decisions</p>	A10: Architectural Styles <ul style="list-style-type: none"> - Layers, Tiers - Peer2Peer - Client-Server - Pipes & Filters - SOA, Microservices - Blackboard - Onion, Clean, Lambda <p>8) Principles & Tactics</p>	A11: Architectural Patterns <ul style="list-style-type: none"> - Enterprise Application Integration (EAI) - EAI Pattern - File Transfer - Shared Database - Remote Procedure Invocation - Messaging <p>9) Architectural Styles</p>	A12: Evaluation of Architectures <ul style="list-style-type: none"> - Architecture Tradeoff Analysis Method ATAM - Scenarios - Risks and sensitive points <p>10) EAI Pattern</p>	A13: AI Architectures <ul style="list-style-type: none"> - AI agent model - Shakey Layers, Belief-Desire-Intention, - Brooks Subsumption Architecture, - SOA Cognitive Architecture <p>11) ATAM</p>	A14: Summary <ul style="list-style-type: none"> - Challenges & Risks in architectural thinking - Architect profession and career paths <p>A15: Examen Preparation</p>

How we Work in this Course

- **Monday afternoon in Week n:**
 - Learn about method in lecture

- **Until Sunday 6 pm in Week n:**
 - Apply method to own project based on questions from tutorial working guidelines document
 - Upload your solution

- **Monday morning in Week n+1:**
 - Selected teams present solution concepts in class
 - Discuss solutions
 - All submitted solutions are shared among all participants

Team Organization

- We use a paper-based inscription list
- Form teams of 2-3 people
- Put the full name of all team members on the list
- Remember your team number
- Until Week 2 add a short name and description of your project on the list
 - project-specific assignments start in Week 4
 - Write project description as part of assignment 1
- **LOOKING FOR A TEAM MATE?** Send a short description and contact email to atis@dfki.de by end of week 1

The Team Project

- Choose a system, for which an architecture needs to be devised, such a system can be
 - a known AI system already in existence (e.g. Google search, Alexa, a subsystem of Facebook, a Natural-Language based application, etc.)
 - a specific system or app, that a team wants to build, is building, or has built in the past
 - any other app or system (including non-AI), a team wants to use for practicing the methods taught in this course
- Create complete architectural description document by working through all tutorial questions

Submit Your Assignment Documentation

- Name your file: <AssignmentNo>-<Team-No.>
 - 1-6.pdf – Assignment 1, Team 6
 - 11-3.pdf Assignment 11, Team 3
- One representative of the team uploads the solution to the ATIS CMS

Participation Requirements & Admission to Examen

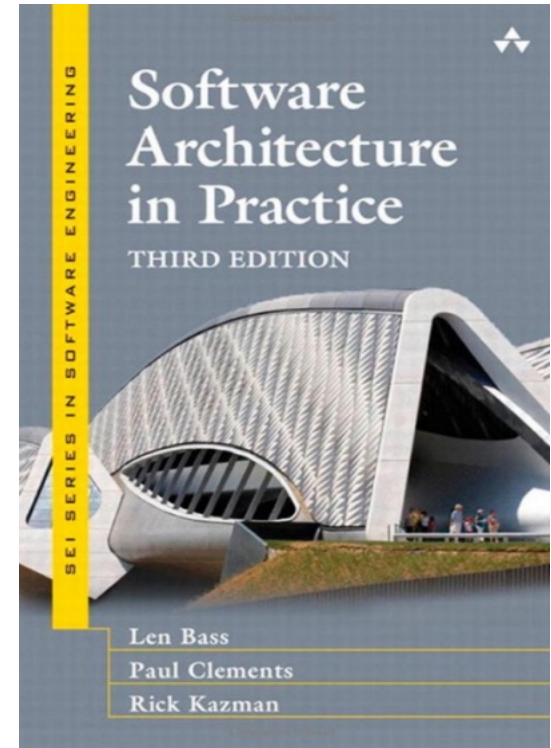
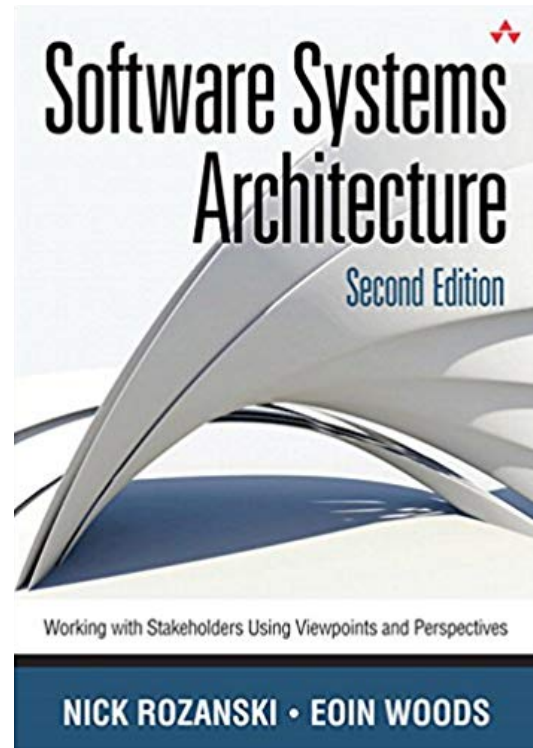
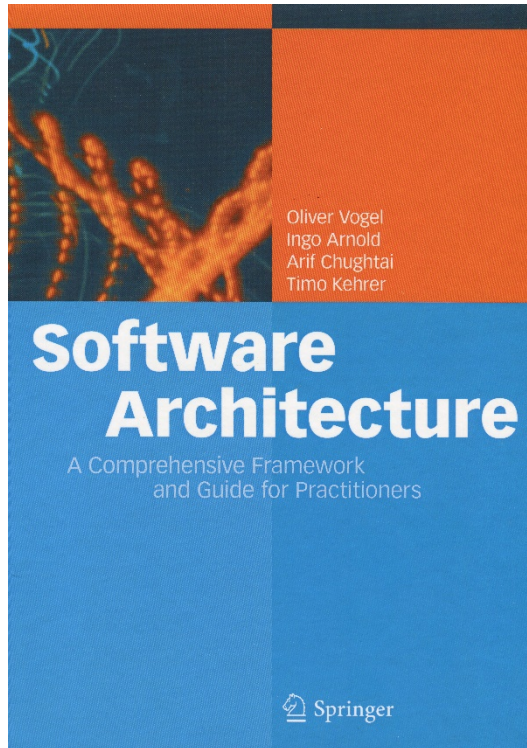
- At least 1 member of each team must be present in each tutorial
- All submitted solutions must be formally accepted (=9 out of 11)
 - Formal acceptance until Monday evening 8pm for solutions submitted on Sunday until 5:59pm
 - If not accepted, 1 week for improvement & resubmission
 - Selection of presentation is independent of submission status
- Each team presents at least approx. 3 times

Examen

- Written Examen 90 minutes
- Closed book
- Only pen allowed
 - No pencils, no electronic devices
- Focus is on applying methods
- Check slide deck 15 for more information

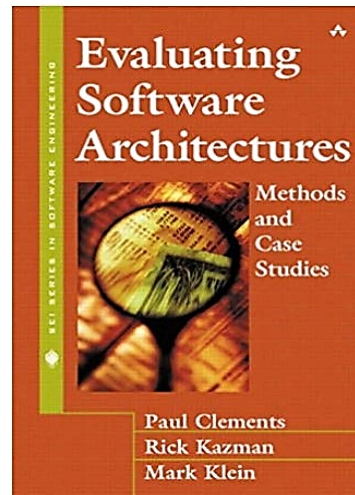
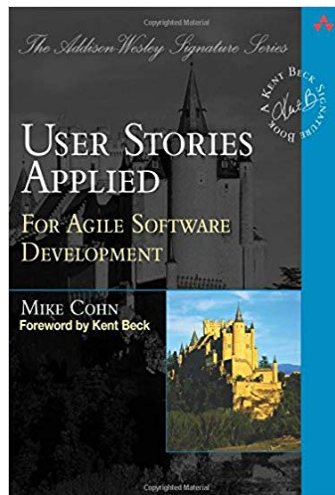
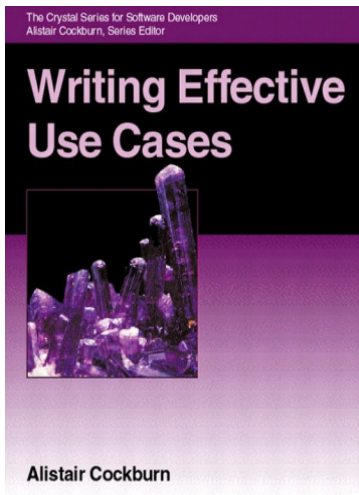
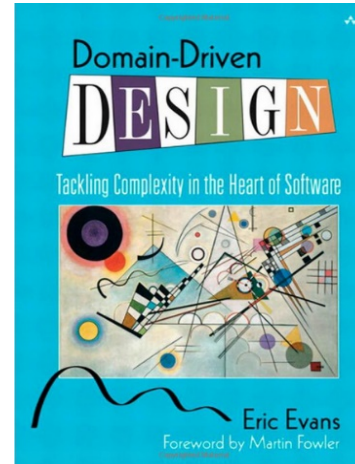
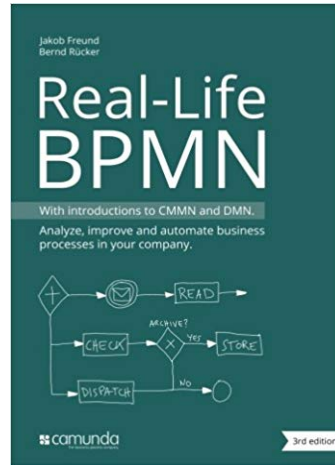
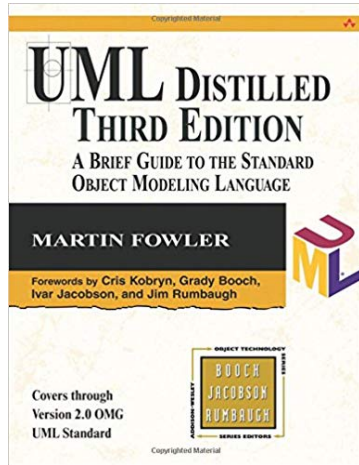
- If passed → Great 😊
- If failed, you will have to wait one year until the next iteration
 - No re-exam!

Main Literature



Coherent and systematic presentation of all concepts
Practical insights, numerous examples and patterns

Additional Sources for Specific Methods



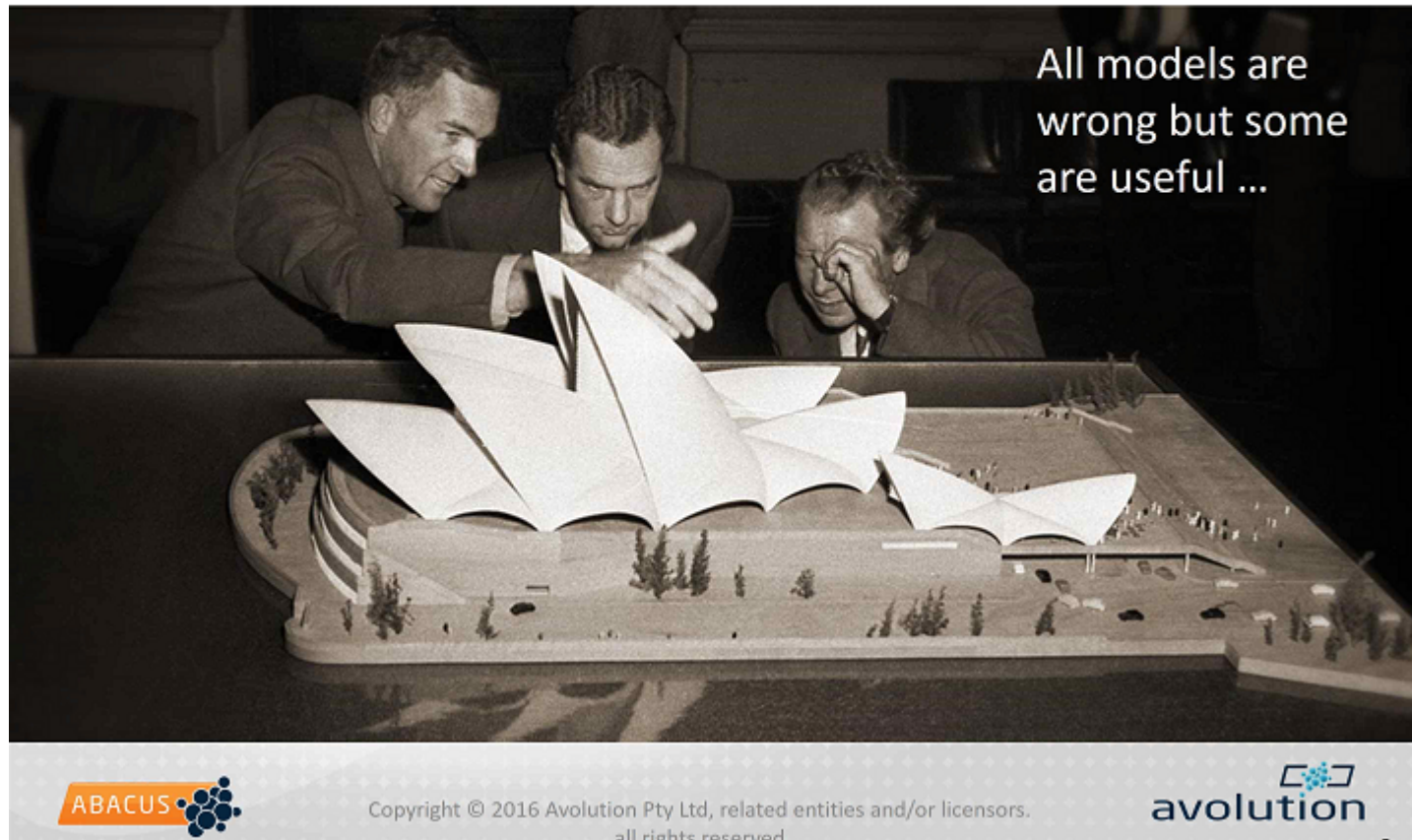
Literature in German



Prerequisites

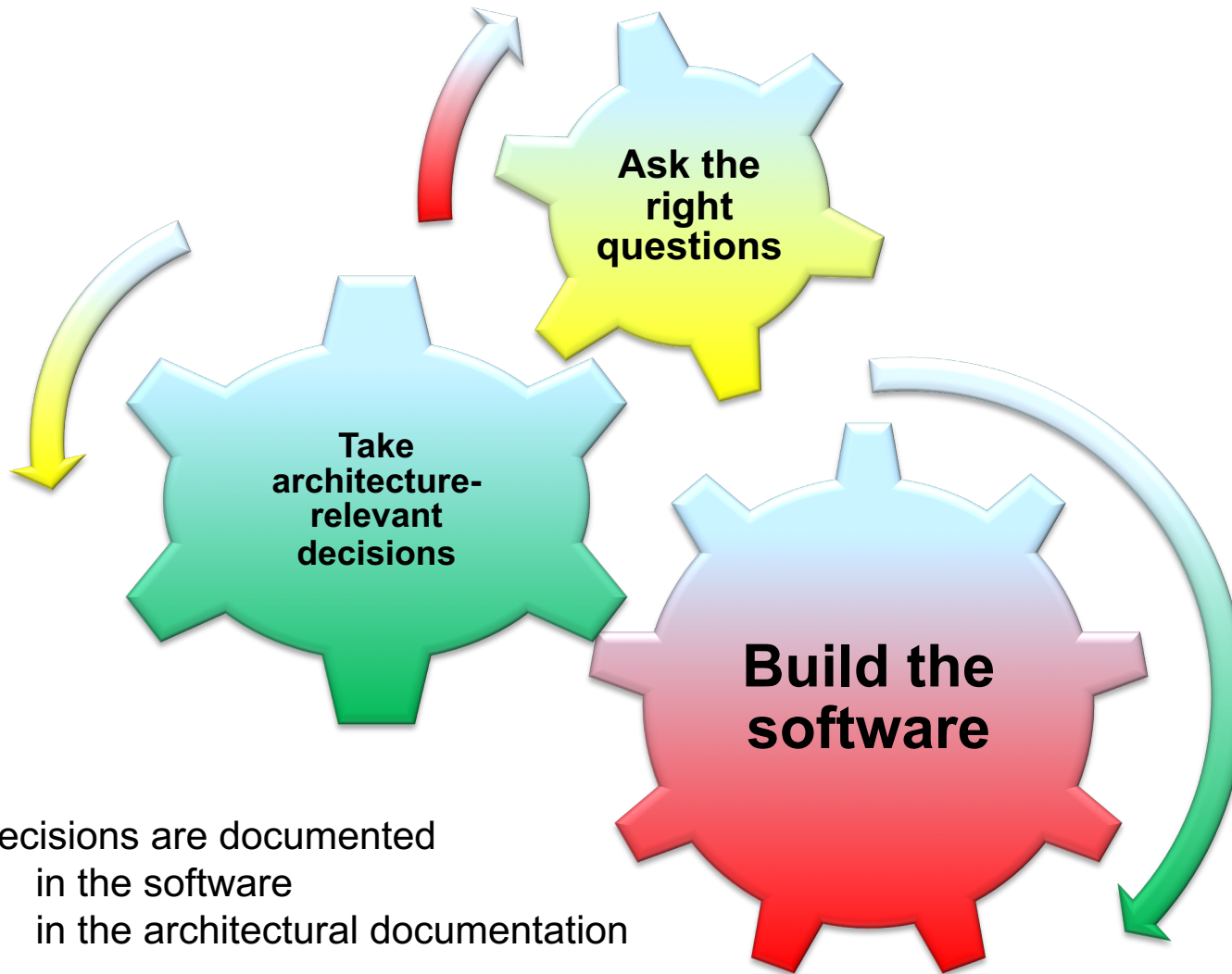
- Software and Systems Modeling
- Software Engineering & Development
- Agile software development methods (Scrum)
- Software project management

1. Something ... is better than nothing



Open Group Webinar: 5 Quick Wins That Give Enterprise Architects an Edge

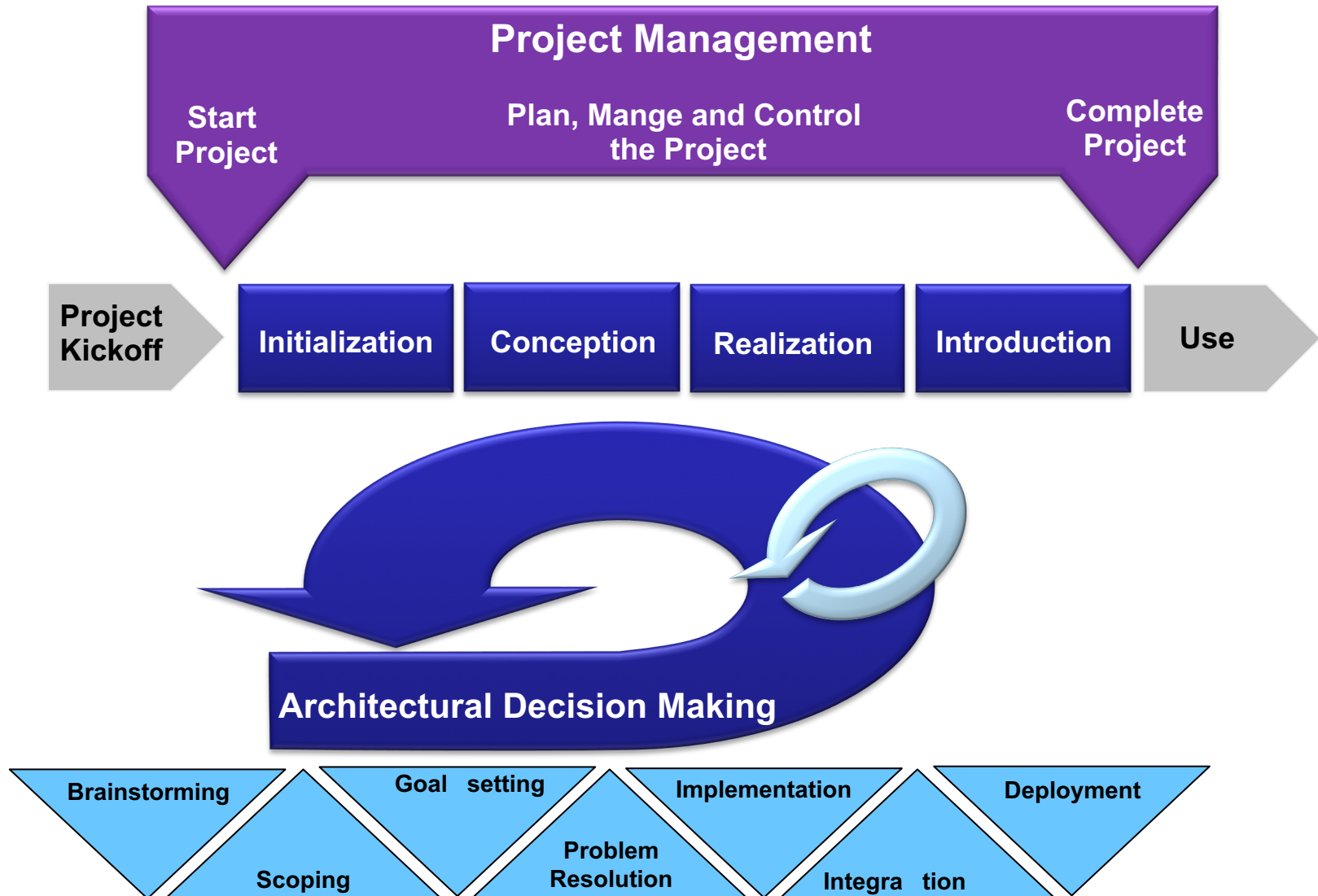
Software Architecture and Agility



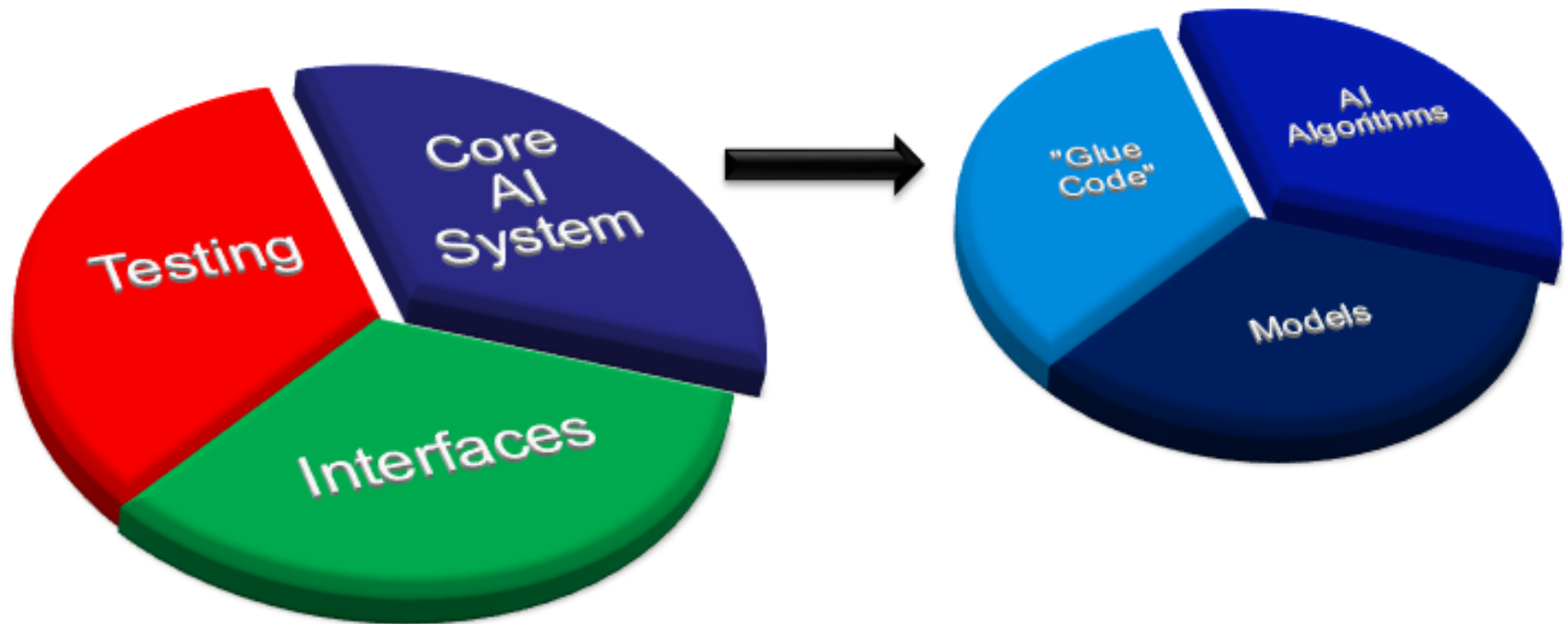
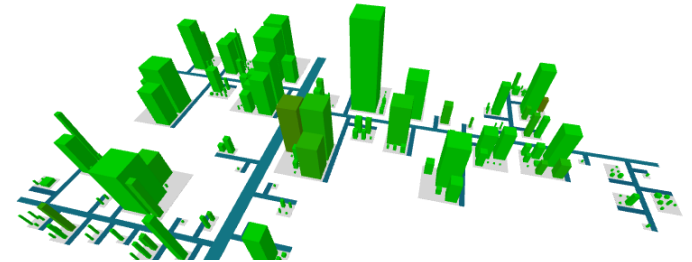
Decisions are documented

- in the software
- in the architectural documentation

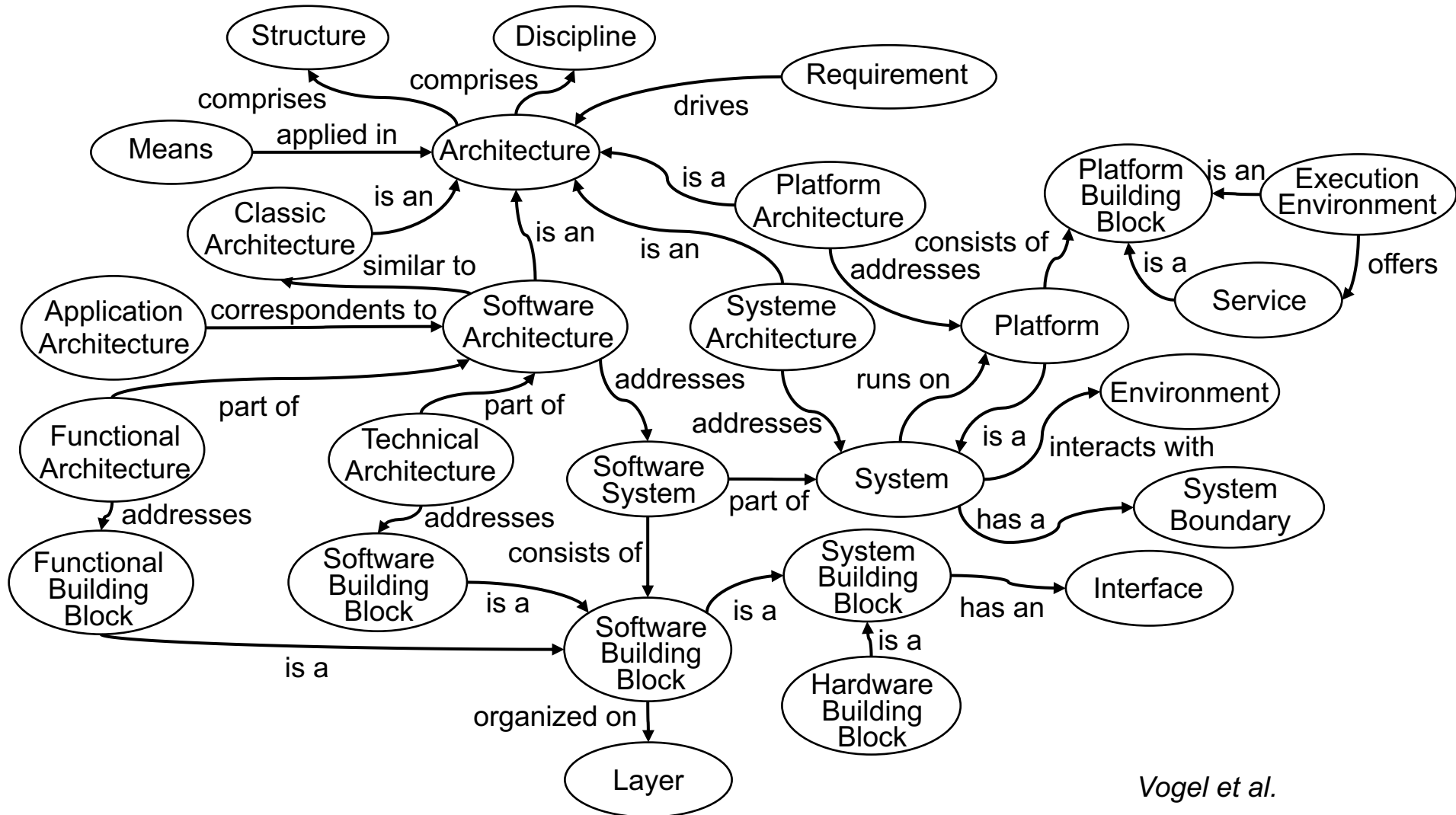
Architecture and Agile Development



Software Architecture and Artificial Intelligence

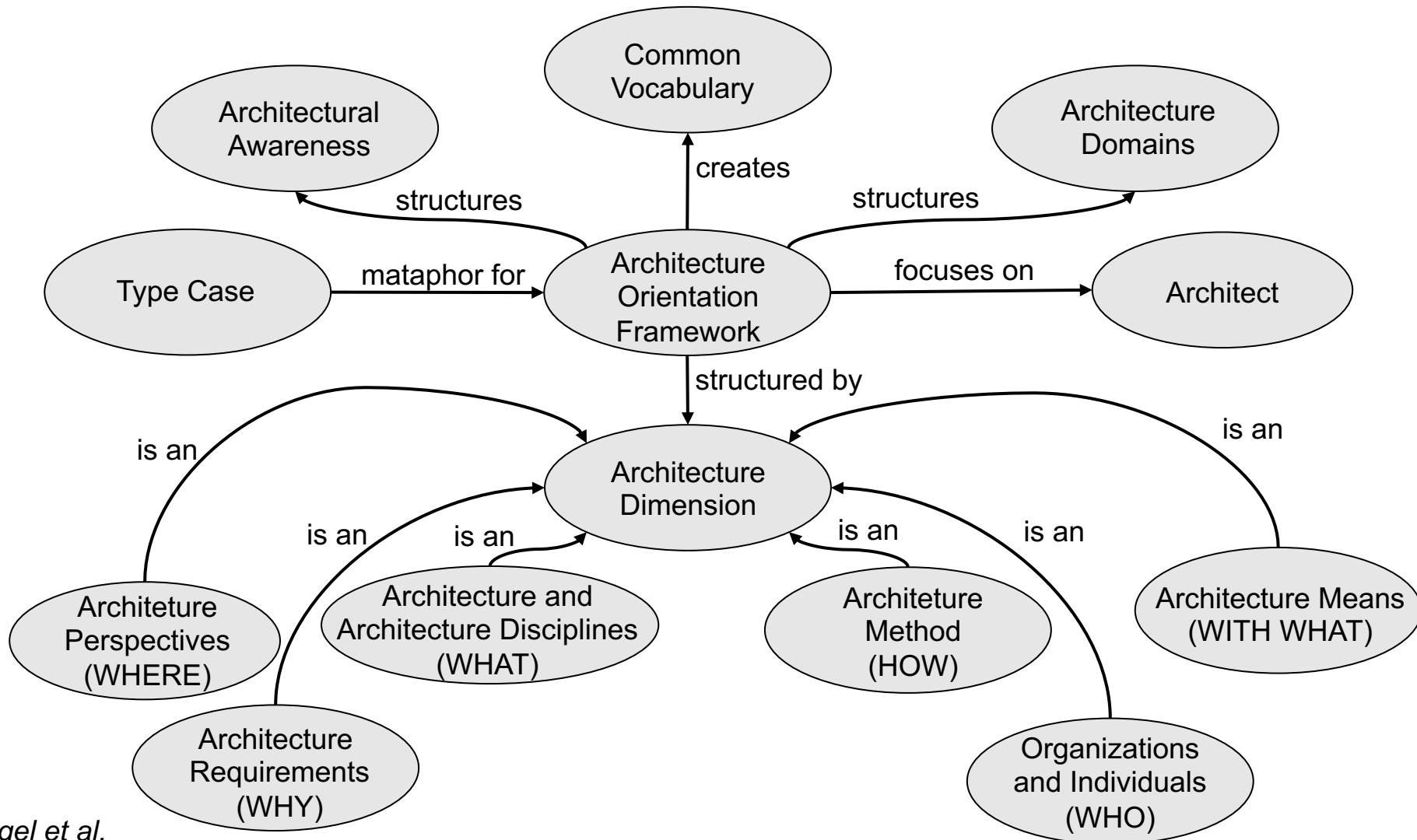


The Language of Architects



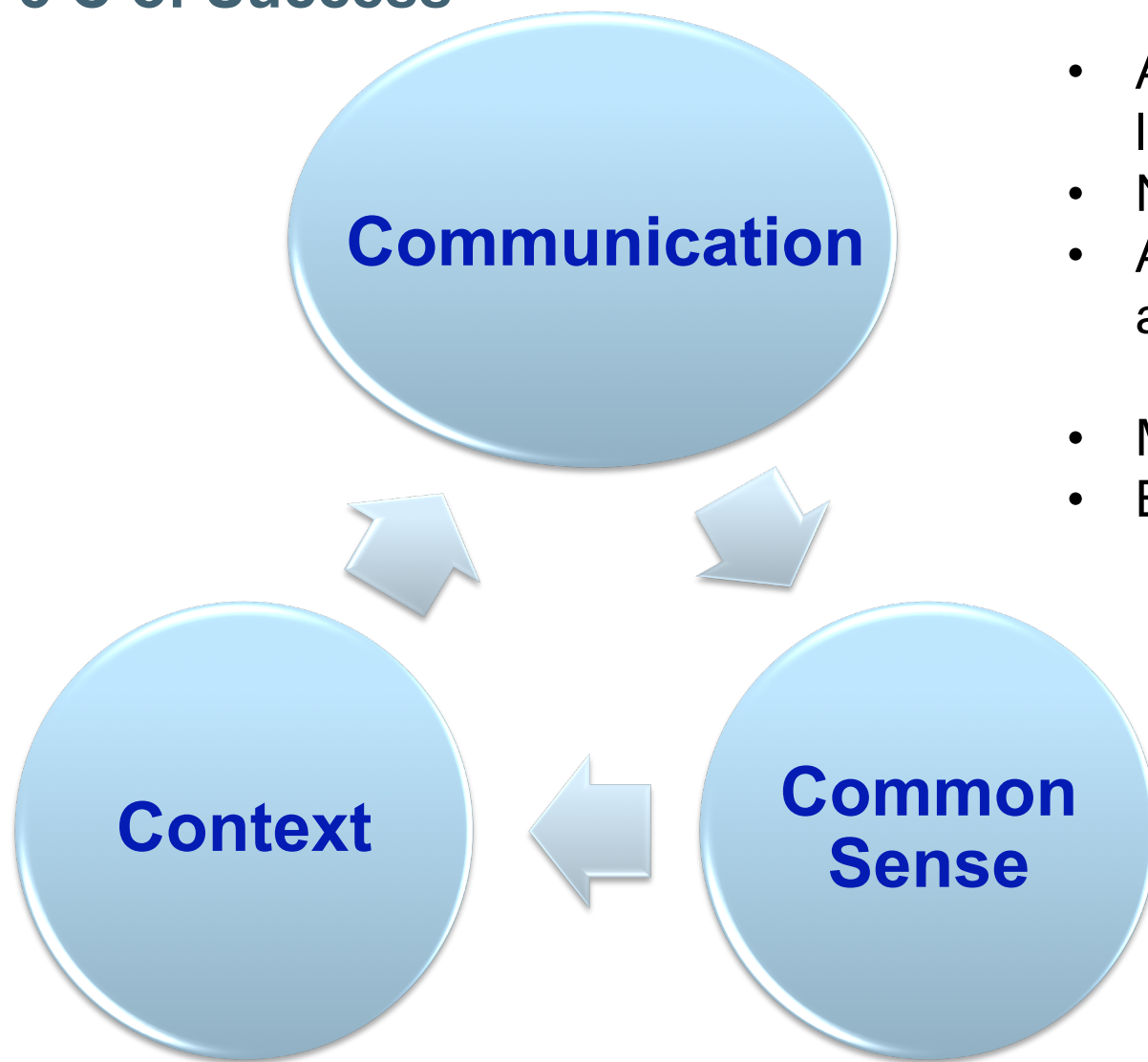
Vogel et al.

Basic Concepts



Vogel et al.

3 C of Success



- Ask the right questions and listen carefully
- Negotiate – don't clarify!
- Apply proven methods, avoid known errors
- Manage Risks
- Build on experience

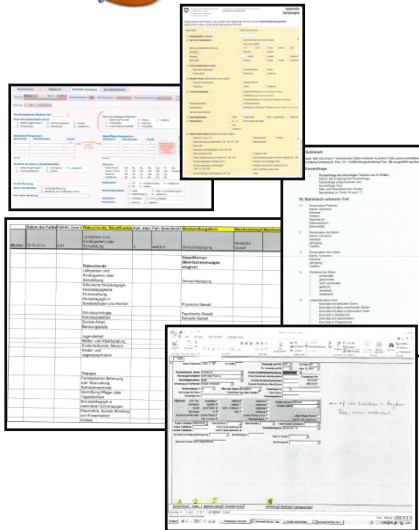
Architectural Concerns in a Data Integration Project

Institutions



Optimus Study, cycle 3

The magnitude of legal, health and child protective services response to child maltreatment in Switzerland



*Data in different
Formats and Tools*



Study Team



Overview

AI Architecture of an Elevator Control System



How do you define "Software Architecture"?

Architecture Definition I

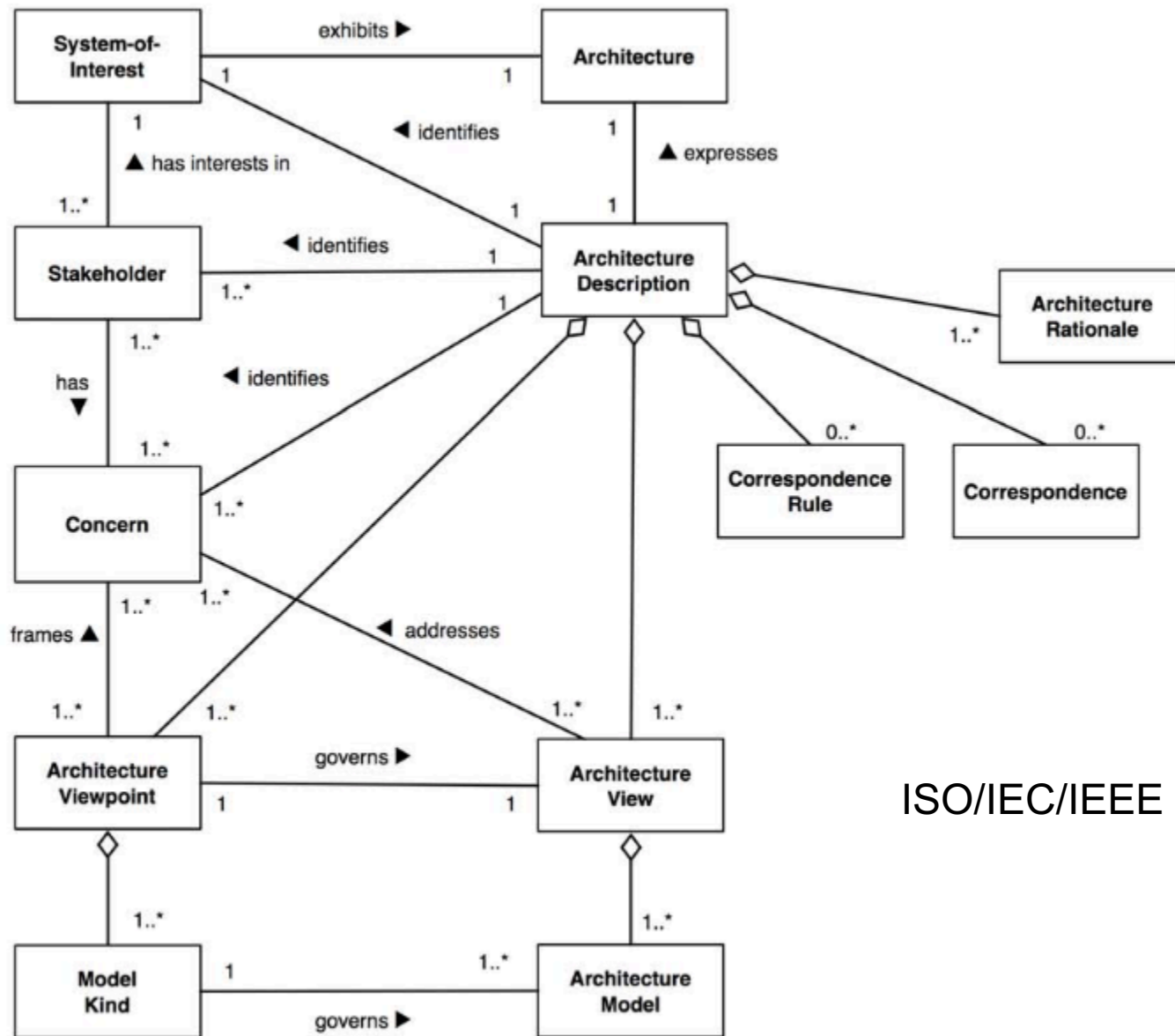
The software architecture of a system is the set of structures needed to reason about the system, which comprise software elements, relations among them, and properties of both.

Bass, Clements, Katzman: Software Architecture in Practice, Addison Wesley 2003 and ISO/IEC/IEEE 42010:2011, Systems and software engineering — Architecture description

- Based on the notion of a system
 - Elements organized by structure and relationships in a system with a given purpose to achieve goals and a clear boundary separating it from its environment

Implications of this Definition

- Architecture is
 - a set of software structures
 - an abstraction
- Every software system has a software architecture
- Architecture includes behavior



ISO/IEC/IEEE 42010:2011

Architecture must ...

- ... define the components of a system
 - ... describe its essential (externally visible) features
 - ... characterize the relations between these components
-
- Static aspects: building plan
 - Dynamic aspects: work plan
-
- Architecture as a scientific discipline and profession
 - Methods and principles to create an architecture

Our Focus - Solution/Application Architecture

Infrastructure
Architecture
«*Supply Network*»

Solution
Architecture
«*House Plan*»

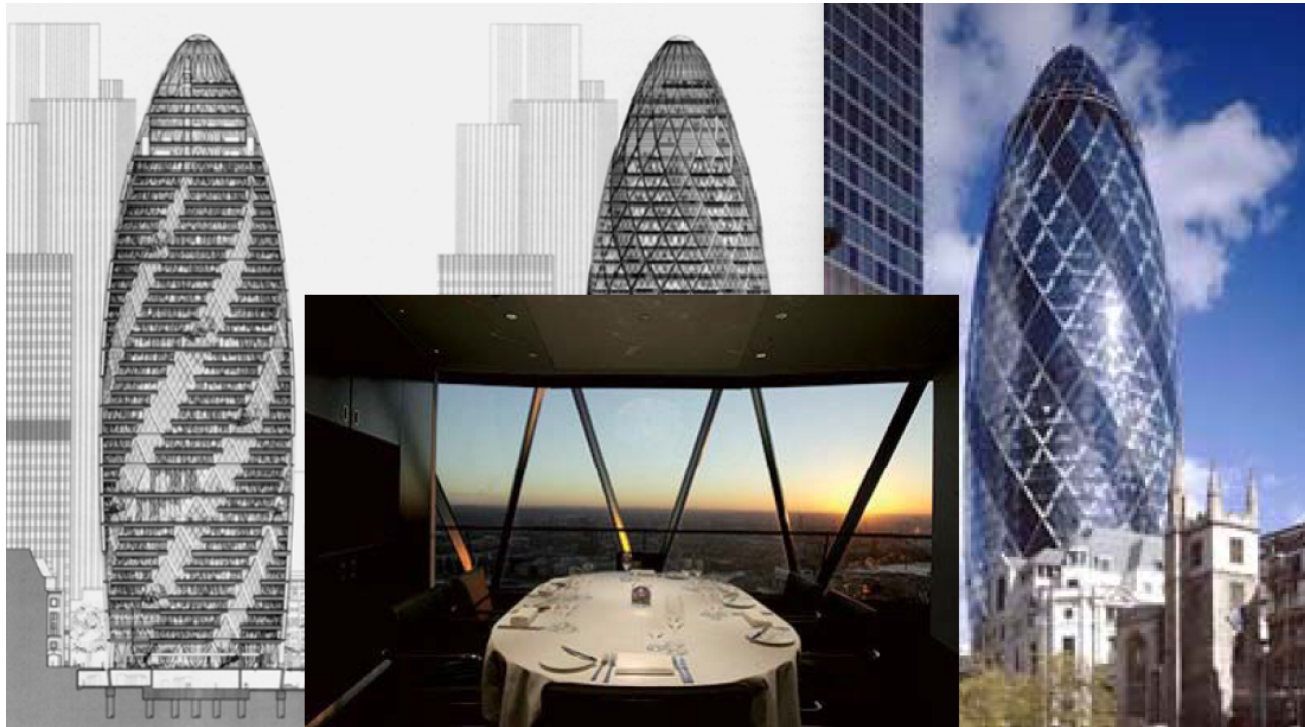
Enterprise
Architecture
«*City Plan*»





Building Architecture

- Requirements determine style



Impress



Find and reuse substructures

Defend

There is no such thing as the
"best" solution
– be fit for a purpose



Ensure Mobility



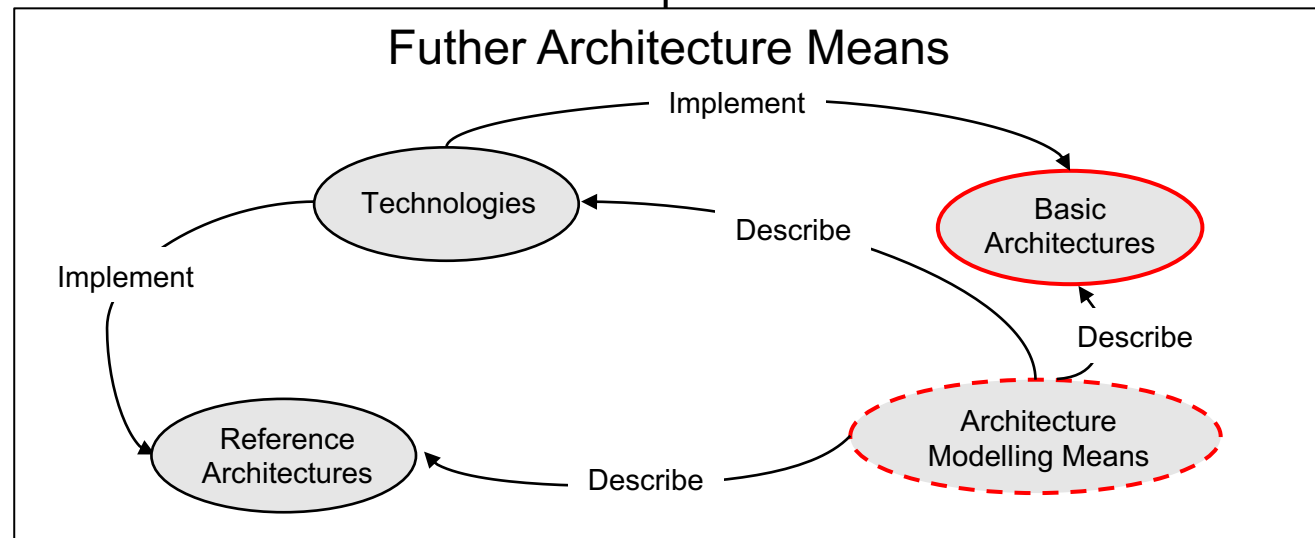
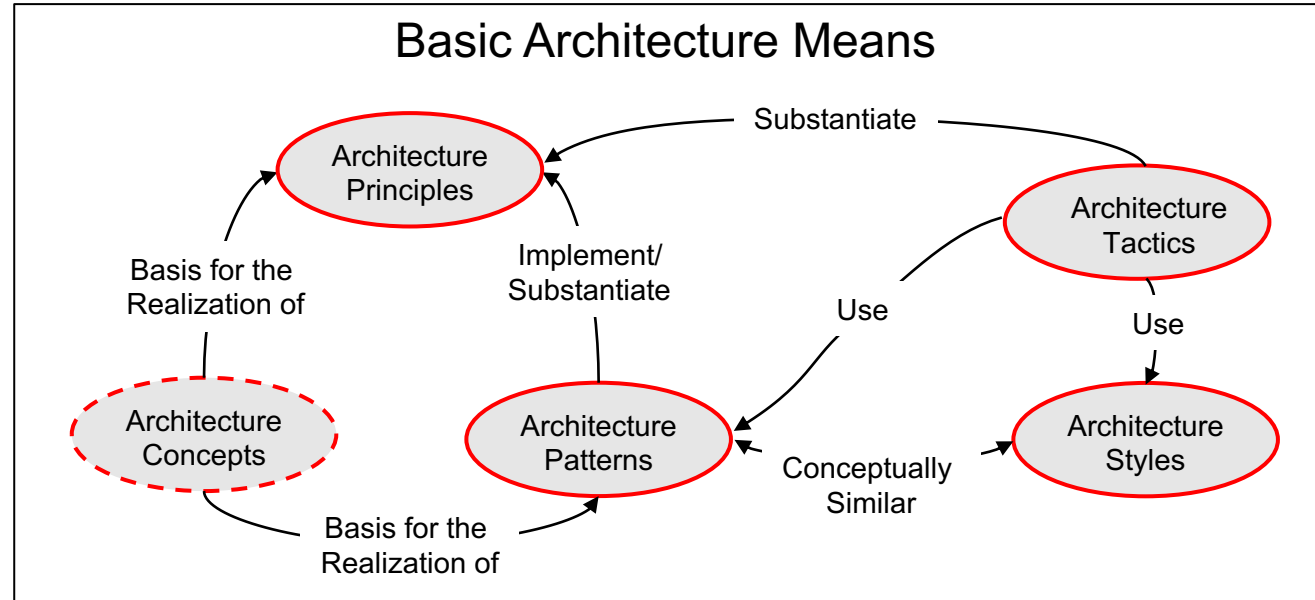
Question: Software Architecture – Building Architecture

- a) How far carries the analogy?
- b) What do both architectural disciplines have in common?
- c) Where are important differences?
- d) Which decisions are taken at the software architectural, design, implementation level?

Most Relevant Goal: Complexity Reduction

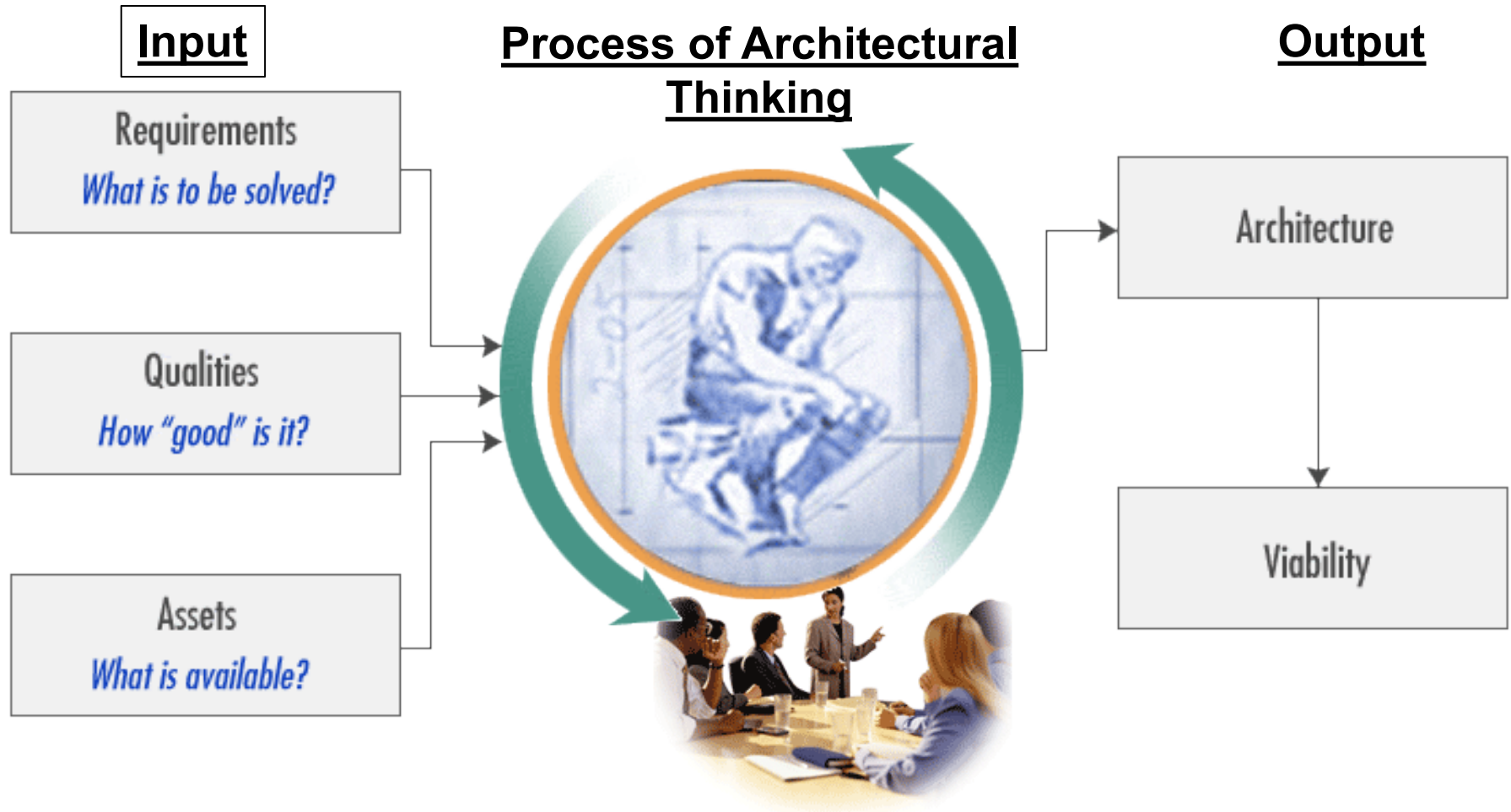
- by decomposition and structuring
 - by abstraction
 - by reuse
 - by good documentation
-
- The importance of architectural thinking is growing
 - Today's systems have to be transformed rapidly to meet changing requirements
 - More heterogeneous systems (various technologies, different interfaces, evolving functionality, platform independence)
 - Increased interoperability with other systems

Basic Concepts in the Focus of this Lecture



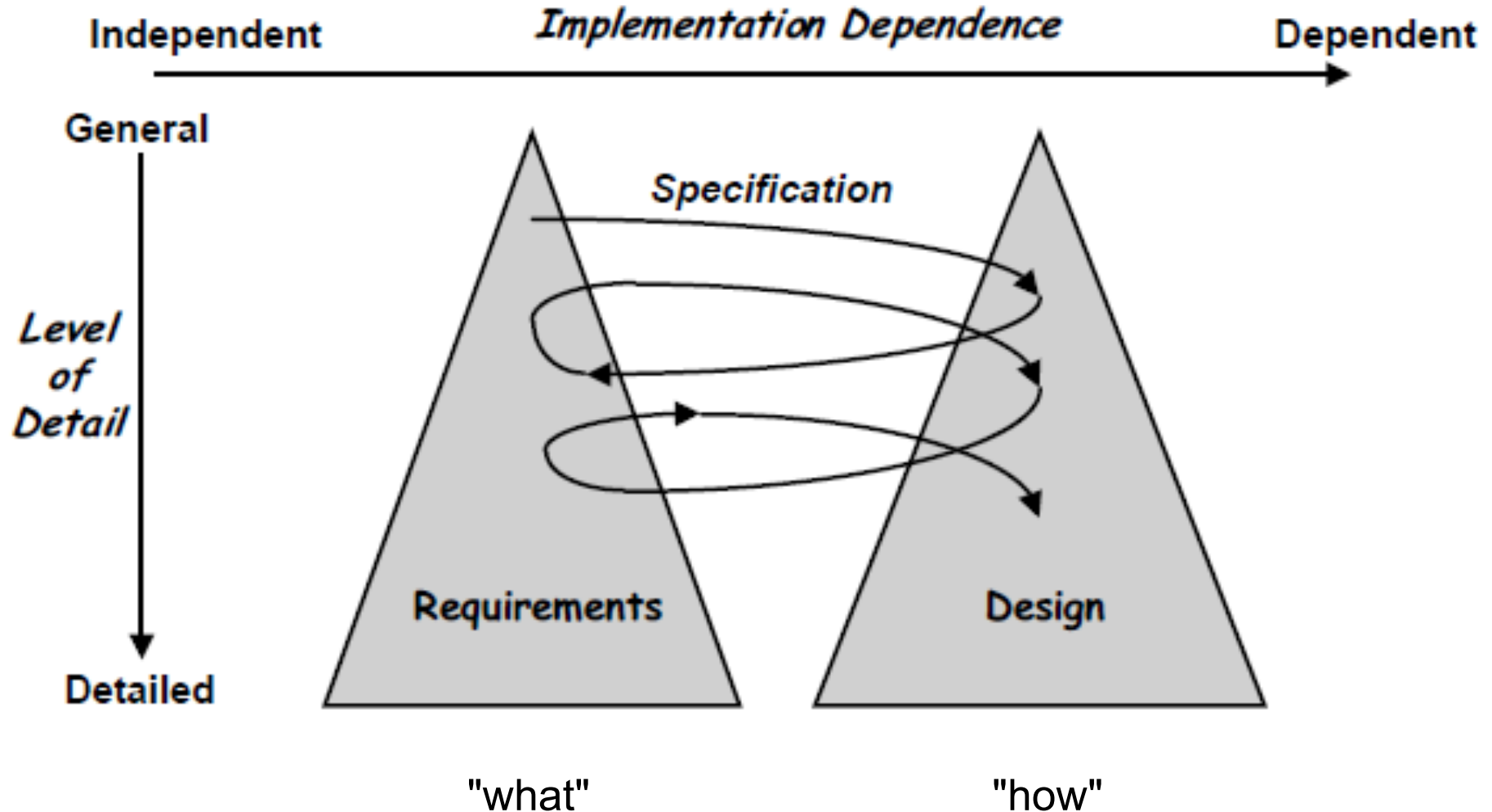
Vogel et al: Software Architecture

Key Elements of Architectural Thinking



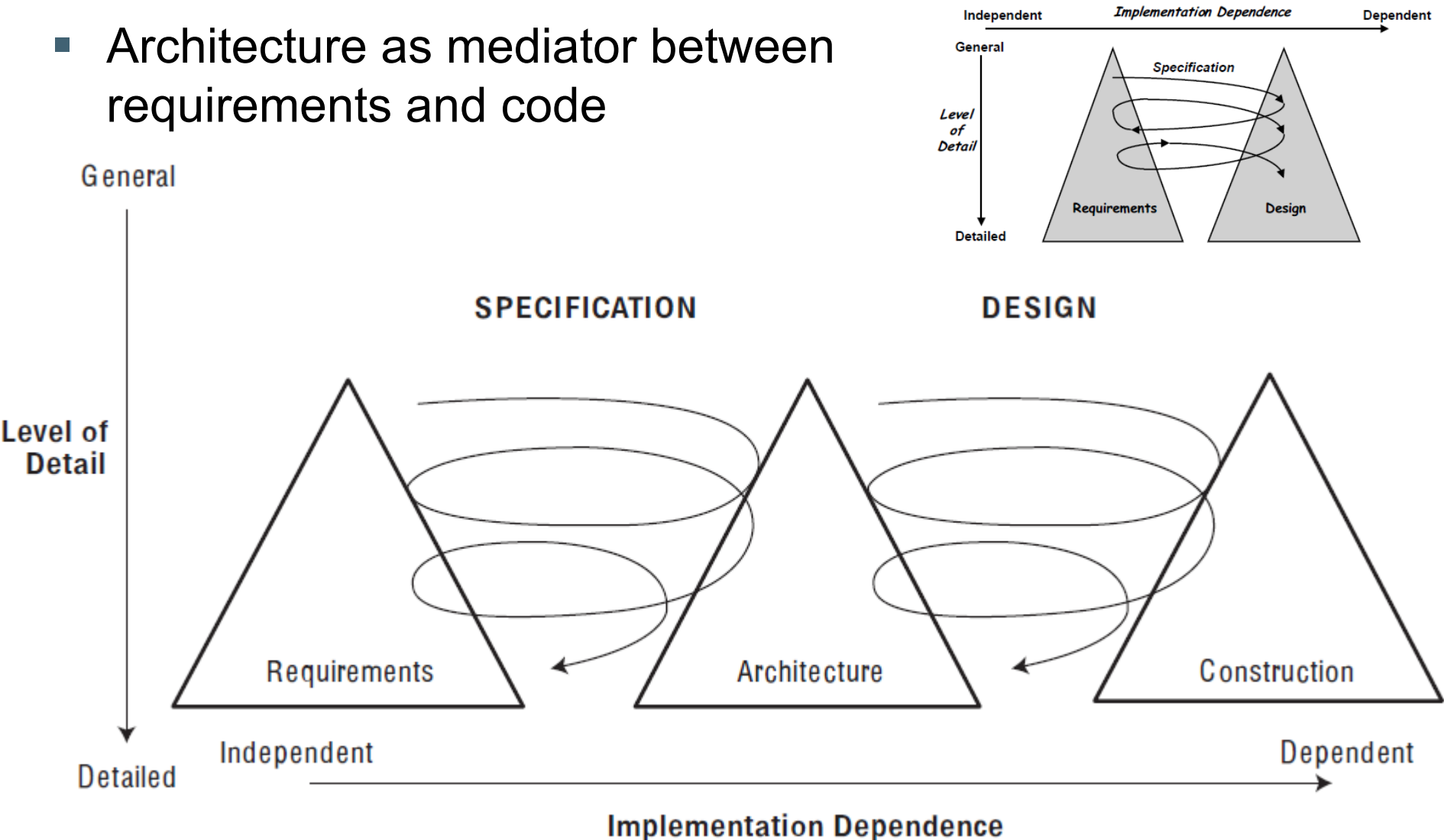
Source: IBM Architectural Thinking

Twin Peaks Model (Nuseibeh, 2011)



Enhanced Twin Peaks Model (Woods & Rozanski, 2010)

- Architecture as mediator between requirements and code



Iterative Evolution of a System's Architecture



- Thinking in systems
 - Which are the basic system components?
 - How can they be separated from each other?
 - Which relationships and interfaces are required?
- Application of patterns
 - Which patterns apply to components and their relations?
- Evaluation of the architecture
 - How well does it fit to meet scenarios?

A well-defined and interactive Process

- Analysis of requirements and resolution of conflicts
- Application of principles & tactics & patterns
- Taking decisions & making compromises
- Evaluation of alternatives
- Documentation of views for stakeholders
- Documentation and evaluation of decisions

Facts

- Architecture as the key factor defining the quality of a system – WHAT is realized HOW?
- Every system has an architecture
 - even if there is no documentation beyond the code

Challenges

- Technological mismatch between conception and implementation
 - Modeling vs. programming
- Architecture is difficult to reconstruct from the implementation code

Summary

- Architecture as a structural system of components, their relationships, and responsibilities
- Why do specific components and relations exist?
- Architectural thinking asks different questions than design and leads us to different answers
- Architecture develops in an incremental process
- Architectural thinking gives you a plan to control project risks!

Working Questions

1. How do you define the architecture of a software system?
2. Which elements and essential steps constitute architectural thinking?
3. Why is complexity reduction the most important goal when designing an architecture?
4. Why is a structured, iterative, and incremental approach necessary?
5. How can a Scrum team deal with architectural questions?
6. Which subdisciplines of software architecture do you know?
7. Which challenges are we facing when developing the architecture of a system?